

## STROOCK

FACSIMILE

DATE

September 17, 2004

TO	COMPANY NAME	FAX NO.	TELEPHONE NO.
Examiner Michael S. Brooke Group Art Unit 2853	Mail Stop Issue Fee U.S. Patent and Trademark Office	703-746-4000	571-272-2142

TOTAL NO. OF PAGES	17
FROM	David L. Schaeffer, Reg. No. 32,716
SENDER'S FAX NO.	212-806-6006
SENDER'S PHONE NO.	212-806-6677
SENDER'S EMAIL	dschaeffer@stroock.com
ROOM NO.	3869
ATTORNEY NO.	3551
CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/525,477
CLIENT/MATTER NO.	448563/0222

## MESSAGE

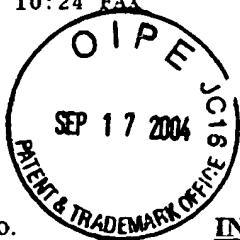
Enclosed please find:

1. Certificate of Transmission; and
2. Request For Confirmation of Consideration  
of Information Disclosure Statement (2 pgs, plus Tabs 1 & 2);

## CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212-806-6102.

STROOCK & STROOCK & LAVAN LLP • NEW YORK • LOS ANGELES • MIAMI  
180 MAIDEN LANE, NEW YORK, NY 10038-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM



Docket No.  
448563/0222

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Hisashi Miyazawa

Group Art Unit: 2861

Application No.: 09/525,477

Examiner: Michael S. Brooke

Filed: March 15, 2000

For: **INK-JET RECORDING DEVICE AND INK SUPPLY  
UNIT SUITABLE FOR IT**

Date: September 17, 2004

**CERTIFICATE OF TRANSMISSION  
BY FACSIMILE (37 C.F.R. § 1.8)**

Mail Stop Issue Fee  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Request For Confirmation of Consideration of Information Disclosure Statement (2 pgs.  
plus Tabs 1 & 2)**

is being transmitted by facsimile to the United States Patent and Trademark Office in  
accordance with 37 C.F.R. § 1.8 on the following date: **September 17, 2004**

**David L. Schaeffer**

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)

SSL-DOCS1 1472554v1

Docket No.  
448563/0222

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hisashi Miyazawa

Group Art Unit: 2861

Application No.: 09/525,477

Examiner: Michael S. Brooke

Filed: March 15, 2000

For: **INK-JET RECORDING DEVICE AND INK SUPPLY  
UNIT SUITABLE FOR IT**

Date: September 17, 2004

**REQUEST FOR CONFIRMATION OF CONSIDERATION  
OF INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

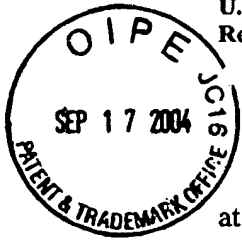
Sir:

On July 21, 2004, Applicants filed a Supplemental Information Disclosure Statement ("IDS") citing various U.S. and foreign references in the subject application. These papers were filed in accordance with the procedure specified in 37 C.F.R. § 1.8, and were accompanied by the requisite Certificate of Mailing.

To date, Applicants have not received confirmation that the IDS has been considered. The undersigned recently discussed this point with the Examiner, who advised that the IDS had not reached him.

As proof that the IDS was received by the Patent and Trademark Office, Applicants annex at Tab 1 a copy of the itemized return postcard that was filed with the IDS and which bears the Patent and Trademark Office's date stamp. In accordance with M.P.E.P. § 503, this return postcard constitutes prima facie proof that the papers were filed and received.

SSL-DOCS1 1496882v1



U.S. Patent Appln. No. 09/525,477  
Request For Confirmation of Consideration  
of Information Disclosure Statement

For the Examiner's convenience, a copy of the IDS as filed is included herewith

at Tab 2.

Applicants respectfully request confirmation that the cited prior art has been considered by return to the undersigned of an initialed copy of the List of References Cited by Applicant(s) (PTO-1449) form included with the IDS.

Respectfully submitted,

David L. Schaeffer  
Registration No. 32,716  
Attorney for Applicants  
STROOCK & STROOCK & LAVAN LLP  
180 Maiden Lane  
New York, New York 10038-4982  
(212) 806-5400

JAN.	FEB.	MAR.	APR.	MAY	JUN.	JUL.	AUG.	SEP.	OCT.	NOV.	DEC.	7/21	2004
FLAG FOR EXPECTED MONTH OF RESPONSE BY PAT. O.													

MONTH DAY

f receipt by pat. o.  
BY THIS DATE

Dkt. No.: 448563/0222

Serial No.: 09/525,477

Attorney: LR:DLS:JL

Applicant: Hisashi Miyazawa

Filed: March 15, 2000

For: INK-JET RECORDING DEVICE AND INK SUPPLY UNIT

SUITABLE FOR IT

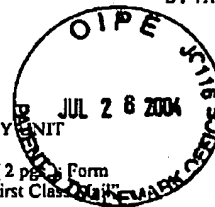
Filed herewith: Supplemental Information Disclosure Statement (2 pgs.) Form  
PTO-1449 (7 pgs.); 104 References, Certificate of Mailing By "First Class Mail"  
(37 CFR 1.8) (1 pp)

Filed: 7/21/2004

MASTER CARD MARKED \_\_\_\_\_ GREEN &amp; ANY YELLOW TICKLE CARDS PULLED \_\_\_\_\_

AWAITING PAT. O. ACTION TICKLE

CLERK \_\_\_\_\_



Docket No.  
448563/0222



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Hisashi Miyazawa

Group Art Unit: 2861

Application No.: 09/525,477

Examiner: Judy Nguyen

Filed: March 15, 2000

For: **INK-JET RECORDING DEVICE AND INK SUPPLY UNIT SUITABLE  
FOR IT**

Date: July 21, 2004

**CERTIFICATE OF MAILING**  
**BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Return Receipt Postcard; Information Disclosure Statement (2 pgs.); Form PTO-1449 (7 pgs.);  
104 References**

is being deposited on July 21, 2004 with the United States Postal Service  
as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.

**Jeong Eun Lee**

(Typed Or Printed Name Of Person Mailing Correspondence)

  
(Signature Of Person Mailing Correspondence)

SSL-DOCS1 1474312v1

Atty. Docket No.: 448563/0222

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Applicants: **Hisashi Miyazawa**Serial No.: **09/525,477**Art Unit: **2861**Filed: **March 15, 2000**Examiner: **Judy Nguyen**For: **INK-JET RECORDING DEVICE AND INK SUPPLY  
UNIT SUITABLE FOR IT**

July 21, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed List of References Cited by Applicant(s) form (PTO-1449). Copies of the listed references are also enclosed. For the Examiner's convenience, English abstracts of the cited foreign references have been provided, when available.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(b)(4), after the filing of a Request for Continued Examination and, to the best of the undersigned's knowledge, before the mailing of an Office Action. Accordingly no fee is believed to be due.

Nevertheless, the Commissioner is authorized to charge any fee which may be required for the filing of this Information Disclosure Statement, as well as any other fee which may now or hereafter be due, to Deposit Account No. 19-4709.  
SSL-DOCS1 1476099v1



Application No. 09/525,477  
Supplemental Information Disclosure Statement filed July 21, 2004

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed List of References Cited by Applicant(s) form (PTO-1449) be returned indicating that such information has been considered.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,

By David L. Schaeffer  
David L. Schaeffer  
Reg. No. 32,716  
Attorney for Applicant  
Stroock & Stroock & Lavan LLP  
180 Maiden Lane  
New York, New York 10038-4982  
(212) 806-5400

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,270,771	9/6/1966	Morgan et al.			
	3,779,274	12/18/1973	Kelly			
	3,941,149	3/2/1976	Mittleman			
	4,152,710	5/1/1979	Matsuba et al.			
	4,183,031	1/8/1980	Kyser et al.			
	4,514,742	4/30/1985	Suga et al.			
	4,520,369	5/28/1985	Shackleton			
	4,677,447	6/30/1987	Nielson			
	4,700,202	10/13/1987	Kuranishi et al.			
	4,869,282	9/26/1989	Sittler et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
FR 1 145 605	10/28/1957	France			
DE 1 152 583	9/19/1958	Germany			
FR 1 268 227	6/1961	France			
FR 2 391 405 A1	12/1978	France			
JP 55-146766	11/15/1980	Japan			w/ Eng. Abst.
JP 57-006777	1/13/1982	Japan			w/ Eng. Abst.
JP 57-197176 A	12/3/1982	Japan			w/ Eng. Abst.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 7

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

APPLICANT

Miyazawa

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

FILING DATE

March 15, 2000

GROUP

2861

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,893,138	1/9/1990	Terasawa et al.			
	4,971,527	11/20/1990	Dick			
	5,039,997	8/13/1991	Pullen et al.			
	5,138,344	8/11/1992	Ujita			
	5,305,795	4/26/1994	Forberg			
	5,343,226	8/30/1994	Niedermeyeyr et al.			
	5,367,328	11/22/1994	Erickson			
	5,388,615	2/14/1995	Edlund et al.			
	5,426,459	6/20/1995	Kaplinsky			
	5,453,772	9/26/1995	Aono et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
JP 58-036457 A	3/3/1983	Japan			w/ Eng. Abst.
DE 3 202 796 A1	8/4/1983	Germany			
EP 0 112 701 A2	7/4/1984	EPO			Eng. Orig.
EP 0 116 466 A2	8/22/1984	EPO			Eng. Orig.
JP 59-176545	10/5/1984	Japan			
GB 2 147 975	5/22/1985	Great Britain			Eng. Orig.
EP 0 238 829 A2	9/30/1987	EPO			Eng. Orig.

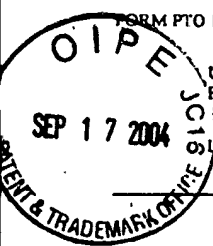
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 7



FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,477,963	12/26/1995	Mochizuki et al.			
	5,539,437	7/23/1996	Penwell			
	5,500,663	3/19/1996	Ujita et al.			
	5,646,664	7/8/1997	Pawlowski, Jr.			
	5,653,251	8/5/1997	Handler			
	5,657,058	8/12/1997	Mochizuki et al.			
	5,691,753	11/25/1997	Hilton			
	5,736,992	4/7/1998	Pawlowski, Jr.			
	5,737,001	4/7/1998	Taylor			
	5,751,319	5/12/1998	Robertson et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
EP 0 336 307 A2	10/11/1989	EPO			Eng. Orig.
EP 0 339 770 A2	11/2/1989	EPO			Eng. Orig.
JP 2-198862	8/7/1990	Japan			w/ Eng. Abst.
EP 0 412 643 A1	2/13/1991	EPO			Eng. Orig.
EP 0 424 133 A2	4/24/1991	EPO			Eng. Orig.
JP 4-073158	3/9/1992	Japan			w/ Eng. Abst.
EP 0 509 686 A2	10/21/1992	EPO			Eng. Orig.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 7

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,777,646	7/7/1998	Barinaga et al.			
	5,796,419	8/18/1998	Clark et al.			
	5,969,737	10/19/1999	Koyama et al.			
	6,000,788	12/14/1999	Iida			
	6,193,364	2/27/2001	Iida			12/13/99
	6,238,042	5/29/2001	Kobayashi et al.			9/15/95
	6,302,531	10/16/2001	Usui et al.			3/16/98
	6,332,481	12/25/2001	Shinada et al.			11/29/00
	6,390,611	5/21/2002	Kobayashi et al.			8/14/00
	6,416,152	7/9/2002	Matsuzaki et al.			5/13/99

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
EP 0 529 880 B1	3/3/1993	EPO			Eng. Orig.
JP 5-162324 A	6/29/1993	Japan			w/ Eng. Abst.
JP 5-201018	8/10/1993	Japan			w/ Eng. Abst.
JP 5-229137	9/7/1993	Japan			w/ Eng. Abst.
EP 0 562 717 A1	9/29/1993	EPO			Eng. Orig.
EP 0 631 874 A2	1/4/1995	EPO			Eng. Orig.
DE 195 45 775 A1	6/12/1997	Germany			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 4 of 7

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,460,984	10/8/2002	Matsumoto et al.			4/28/99
	6,550,901	4/22/2003	Iida			12/27/00
	6,648,459	11/18/2003	Usui et al.			8/7/01
	6,666,551	12/23/2003	Kobayashi et al.			11/27/01
	2002/0089083	7/11/2002	Nishimuro et al.			3/18/02
	2002/0109760	8/15/2002	Miyazawa et al.			10/19/01
	2002/0135646	9/26/2002	Usui			6/22/01
	2002/0154200	10/24/2002	Miyazawa et al.			10/19/01
	2002/0158948	10/31/2002	Miyazawa et al.			4/3/02
	2002/0171721	11/21/2002	Ota et al.			5/17/02

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
JP 11-058772	3/2/1999	Japan			w/ Eng. Abst.
EP 0 903 236 A2	3/24/1999	EPO			Eng. Orig.
EP 0 956 958 A2	11/17/1999	EPO			Eng. Orig.
WO 00/03877 A1	1/27/2000	Japan			w/ Eng. Abst.
EP 1 016 533 A1	7/5/2000	EPO			Eng. Orig.
WO 01/78988 A1	10/25/2001	Japan			w/ Eng. Abst.
EP 1 199 178 A1	4/24/2002	EPO			Eng. Orig.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 5 of 7

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0171722	11/21/2002	Hara et al.			5/17/02
	2002/0171723	11/21/2002	Ota et al.			5/17/02
	2002/0180849	12/5/2002	Sakai et al.			5/17/02
	2003/0007043	1/9/2003	Ota et al.			5/17/02
	2003/0058312	3/27/2003	Iida			10/17/02
	2003/0058313	3/27/2003	Iida			10/17/02
	2003/0085970	5/8/2003	Sakai et al.			11/8/02
	2003/0103119	6/5/2003	Sakai et al.			11/26/02
	2003/0107627	6/12/2003	Seino et al.			4/3/02
	2003/0107629	6/12/2003	Kobayashi et al.			1/22/03

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
EP 1 258 358 A1	11/20/2002	EPO			Eng. Orig.
EP 1 270 235 A1	1/2/2003	EPO			Eng. Orig.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 6 of 6

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
448563/0222APPLICATION NO.  
09/525,477

APPLICANT

Miyazawa

FILING DATE

March 15, 2000

GROUP

2861

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2003/0146959	8/7/2003	Iida			3/7/03
	2004/0051766	3/18/2004	Miyazawa et al.			2/14/03
	2004/0056936	3/25/2004	Usui et al.			9/25/03
	2004/0085415	5/6/2004	Kobayashi et al.			10/29/03
	10/778,766	2/13/2004	Miyazawa et al.			2/13/04
	10/819,756	4/6/2004	Miyazawa			4/6/04
	10/826,918	4/16/2004	Miyazawa et al.			4/16/04

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

Sheet 7 of 7